

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

**COMPLETION
For PCT Cases Only**

In re PATENT APPLICATION of

Inventor(s): Bruce Leary et al.

Appln. No.: 10 | 030,916
Series Code ↑ | Serial No. ↑Atty. Dkt. P 0284988 | 2491398/MJC/RR
M# Client Ref

National Phase

Based on PCT | AU00 | 00844
↑ Country Code & Year

Title: Aqueous Polymer Dispersion

Date: May 22, 2002

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents

Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) copy attached not yet received
2. Signed Declaration Original Facsimile/Copy with spec/claims attached
3. Translation of the International Application into English including:
 - a. Request; Abstract
 - c. pgs. Spec. and Claims; Translation verification
 - e. sheets Drawing which are: informal formal of size A4 11"
4. Copy of International Search Report (ISR) attached (3 page(s))
 - a. plus Annex of family members (1 page(s))
5. Information Disclosure Statement including
 - a. From PTO-1449 listing documents
 - b. Copies of document(s) listed on Form PTO-1449
 - c. A concise explanation of ISR references is given in the ISR
6. Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. Copy of Power to international application agent.
8. (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. Formal Drawings: sheet(s) informal; formal of size: A4 11"
10. Please immediately start national examination procedures (35 USC 371(f))

11. Attached:

12. Preliminary Amendment:

13. Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:

14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item

12 (above) or item(s) in PAT-112 (filed previously) 12 14 17 25

15. **CLAIMS FEES** previously paid paid herewith as follows:

15A. Small Entity Statement Herewith Previously Filed

| | | | Large/Small Entity | | Fee Code |
|---|--------|-----------------|--------------------|--------------|--------------------------------------|
| 16. Total Effective Claims | | minus 20 = | x \$18/\$9 | +0 | 966/967 |
| 17. Independent Claims | | minus 3 = | x \$84/\$42 | +0 | 964/965 |
| 18. If any proper multiple dependent claim (ignore improper) is present, | | | \$280/\$140 | +0 | 968/969 |
| 19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now | | | \$130/\$65 | +130 | 154/254 |
| 20. | | | SUBTOTAL | \$130 | |
| 21. Original due date: May 22, 2002 | | | | | |
| 22. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached | (1 mo) | \$110/\$55 = | +0 | 115/215 | |
| | (2mos) | \$400/\$200 = | | 116/216 | |
| | (3mos) | \$920/\$460 = | | 117/217 | |
| | (4mos) | \$1,440/\$720 = | | 118/218 | |
| 23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee | | \$130 | +0 | 156 | |
| 24. If "assignment" box 6 is X'd, add recording fee | | \$40 | +40 | 581 | |
| 25. | | | TOTAL FEE = | \$170 | |
| | | | | | PLEASE CHARGE DEPOSIT ACCOUNT |
| CHARGE Deposit Account No. 03-3975 | | | | | |
| Our Order No. 021058 | | 0284988 | | | |
| C# | | M# | | | |

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

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McLean, VA 22102
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By Atty: Richard A. Steinberg Reg. No. 26,588
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RAS/kmh

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

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